



Compliance Monitoring Documentation Log

Agent Name:		Agent Number:	
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MONITORING PERIOD: _____ to _____
Start End

Item #		YES	NO	N/A
1	During this monitoring period, did you hire any new employees to process money order or money transfer transactions?			
2	Did you train the new employee(s) on AML and Anti-Fraud requirements and how to record required information from transactions?			
3	Have you documented the AML and Anti-Fraud training of this (these) new employee(s)?			
4	Have you identified any unusual and/or potentially suspicious activity during this monitoring period?			
5	Have you detected any structuring activity? (consumer splits transactions to avoid ID requirements or any transaction below ID requirements)			
6	Have you detected flipping activity? (consumer receives cash and then immediately sends a transaction with a similar amount)			
7	Have SARs been completed for activity determined suspicious for this monitoring period?			
8	Have SARs been E-Filed accurately within 30 days of detection of the transaction?			
9	Have you identified any transactions totaling over \$10,000 in CASH for ONE consumer in ONE day?			
10	Have CTRs been completed for transaction amounts indicated above for this monitoring period?			
11	Have CTRs been E-Filed accurately within 15 days of the transactions?			
12	Have you sold any Money Order for \$3,000 or more, in cash, to ONE consumer in ONE day during this monitoring period?			
13	Have you completed the Money Order Transaction Log accurately for Money Order sales of \$3,000 or more?			
14	Have all MoneyGram transactions requiring identifications been verified?			

Findings	Cause	Recommendation	Reporting Decision
<i>Description of the potentially suspicious or unusual activity</i>	<i>Explanation of the nature of the potentially suspicious or unusual activity</i>	<i>Action(s) taken to mitigate the risk identified</i>	<i>Documenting the decision to file or not to file a SAR</i>

Compliance Officer / Delegate Name **Signature** **Date**